

Department of Finance

Fund: State Regulatory (0229-00)

Sources: Funds are received from banks, credit unions, savings banks, finance companies, mortgage brokers and lenders, collection agencies, and individuals in the investment and securities industry. These funds are the result of examination or investigation fees, assessment fees, filing fees, license/permit/notification fees and forfeiture fees. (Idaho Code §67-2702 (a))

Uses: Funds are used to cover the costs of regulating the financial industry in Idaho. These costs include personnel costs, operating expenses and capital outlay to staff and furnish office space for the personnel authorized for the agency. Idaho Code §67-2701 et seq.

At the beginning of each fiscal year, those moneys in the financial administration account which exceed the current year's appropriation plus any residual encumbrances made against the prior year's appropriation by 25% or more are transferred to the general fund. (Idaho Code §67-2702(2)(f))

Budget Unit: FIAA(250) Department of Finance

FY 03	\$2,984,577	FY 04	\$3,338,039	FY 05	\$3,583,517	FY 06	\$4,295,083	FY 07	\$4,754,387
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Fund: Securities Investor Training (0325-27)

Sources: Funds are received from civil penalties and administrative fines. (Idaho Code §30-14-601 (d)).

Uses: Funds are used to support investor education initiatives to address the continuing problem of investment fraud. These costs include operating expenses for developing and publishing materials suitable for public outreach. Idaho Code §30-14-601 (e).

Budget Unit: FIAA(250) Department of Finance

FY 03	\$0	FY 04	\$0	FY 05	\$0	FY 06	\$49,958	FY 07	\$49,997
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Department of Finance Grand Total

FY 03	\$2,984,577	FY 04	\$3,338,039	FY 05	\$3,583,517	FY 06	\$4,345,041	FY 07	\$4,804,384
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